

United States House of Representatives
Committee on Financial Services
Washington, DC 20515-6050
October 19, 2011

COMMITTEE
HOUSE ADMINISTRATION
2011 OCT 20 PM 12:41

The Honorable Daniel E. Lungren
Chairman
Committee on House Administration
1309 Longworth House Office Building
Washington, D.C. 20515

Dear Chairman Lungren:

Transmitted herewith are statements showing the expenditures of the Committee on Financial Services for the month of September, 2011.

During September, Committee activities included the following:

Committee Activity:

Hearings:

- September 6, 2011** Field hearing entitled "Combating Terror Post-9/11: Oversight of the Office of Terrorism and Financial Intelligence"
Oversight and Investigations
New York, NY

- September 7, 2011** Field hearing entitled "Facilitating Continued Investor Demand in the U.S. Mortgage Market Without a Government Guarantee"
Capital Markets and Government Sponsored Enterprises
New York, NY

- September 8, 2011** Hearing entitled "Legislative Proposals to Determine the Future Role of FHA, RHS and GNMA in the Single- and Multi-Family Mortgage Markets, Part 2"
Insurance, Housing and Community Opportunity

- September 13, 2011** Hearing entitled "Road Map to Sound Money: A Legislative Hearing on H.R. 1098 and Restoring the Dollar"
Domestic Monetary Policy and Technology

- September 13, 2011** Hearing entitled "Ensuring Appropriate Regulatory Oversight of Broker-Dealers and Legislative Proposals to Improve Investment Adviser Oversight"
Capital Markets and Government Sponsored Enterprises

- September 14, 2011** Hearing entitled "HUD and NeighborWorks Housing Counseling Oversight"
Insurance, Housing and Community Opportunity

- September 14, 2011** Hearing entitled "Cybersecurity: Threats to the Financial Sector"
Financial Institutions and Consumer Credit

- September 15, 2011** Hearing entitled "Fixing the Watchdog: Legislative Proposals to Improve and Enhance the Securities and Exchange Commission"
Full Committee

- September 21, 2011** Hearing entitled "The Impact of the World Bank and Multi-Lateral Development Banks on National Security"
International Monetary Policy and Trade

September 21, 2011 Hearing entitled "Legislative Proposals to Facilitate Small Business Capital Formation and Job Creation"
Capital Markets and Government Sponsored Enterprises

September 22, 2011 Joint Hearing with the Subcommittee on TARP, Financial Services and Bailouts of Public and Private Programs of the Committee on Oversight and Government Reform entitled "Potential Conflicts of Interest at the SEC: The Becker Case"
Oversight and Investigations

September 22, 2011 Hearing entitled "An Examination of the Availability of Credit for Consumers"
Financial Institutions and Consumer Credit

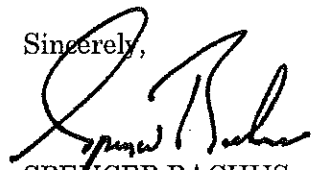
Hearings in Print:

- 112-30 "The Stanford Ponzi Scheme: Lessons for Protecting Investors From the Next Securities Fraud"
5/13/2011
- 112-32 "Legislative Proposals to Determine the Future Role of FHA, RHS, and GNMA in the Single- and Multi-Family Mortgage Markets"; 5/25/2011
- 112-33 "Transparency, Transition, and Taxpayer Protection: More Steps to End the GSE Bailout"; 5/25/2011
- 112-36 "Oversight of HUD's Home Program"; 6/3/2011
- 112-37 "Does the Dodd-Frank Act End 'Too Big to Fail'"; 6/14/2011
- 112-38 "The Role of the U.S. in the World bank and Multilateral Development Banks: Bank Oversight and Requested Capital Increases"; 6/14/2011
- 112-43 "Hacked Off: Helping Law Enforcement Protect Private Financial Information"; 6/29/2011

Reports:

- 112-201 "Securing American Jobs Through Exports Act of 2011"; 9/8/2011
- 112-206 "Small Company Capital Formation Act of 2011"; 9/14/2011

Copies of this report have been furnished to the Ranking Minority Member of the Committee, and the report is available to all Members of the Committee at their request.

Sincerely,

SPENCER BACHUS
Chairman

SB:asg
Enclosures

Committee on Financial Services

H.Res. 147

2011

Statement of expenses from 9/1/2011 to 9/30/2011

Report Done:

19-Oct-11

Category	August 2011	Cumulative Funding 2011
Staff Salaries	\$626,515.25	\$5,501,452.00
Overtime	\$0.00	\$0.00
Detaillee	\$0.00	\$0.00
Consultants	\$0.00	\$0.00
Equipment	\$1,176.01	\$16,650.04
Travel	\$7,714.17	\$14,060.95
Rent, Communications, and Utilities	\$7,369.17	\$55,010.94
Printing and Reproduction	\$146.31	\$2,444.32
Other Services	\$935.00	\$12,501.28
Specialized Training	\$0.00	\$0.00
Representational Funds	\$0.00	\$0.00
Supplies and Materials	\$6,689.83	\$47,014.11
Grand Total	\$650,545.74	\$5,649,133.64

Committee on Financial Services

H.Res. _____

2010

Statement of expenses from 9/1/2011 to 9/30/2011

Report Done:

19-Oct-11

Category	August 2011	Cumulative Funding 2011
Staff Salaries	\$0.00	\$8,832,044.24
Overtime	\$0.00	\$0.00
Detaillee	\$0.00	\$0.00
Consultants	\$0.00	\$0.00
Equipment	\$0.00	\$160,031.84
Travel	\$0.00	\$22,841.98
Rent, Communications, and Utilities	\$0.00	\$81,230.97
Printing and Reproduction	\$0.00	\$3,766.91
Other Services	\$0.00	\$33,713.64
Specialized Training	\$0.00	\$0.00
Representational Funds	\$0.00	\$0.00
Supplies and Materials	\$0.00	\$168,302.30
Grand Total	\$0.00	\$9,301,931.88

Committee on Financial Services

Fund Balance as of 9/30/2011

Year: 2011

Report Date:

19-Oct-11

Total Authorization: \$8,441,264.00

Year - Month	Amount
2011 January	\$530,823.71
2011 February	\$631,044.30
2011 March	\$644,684.31
2011 April	\$627,855.19
2011 May	\$637,191.79
2011 June	\$635,493.88
2011 July	\$648,412.43
2011 August	\$643,082.19
2011 September	<u>\$650,545.74</u>
Total Committee Expenses to Date	\$5,649,133.54
Unexpended Authorization	<u>\$2,792,130.46</u>

Committee on Financial Services
2010 - Fund Balance as of 9/30/2011

Year: 2010 Report Date: 19-Oct-11

Total Authorization: \$9,332,673.00

Year - Month	Amount
2011 January	\$140,557.06
2011 February	\$9,952.30
2011 March	\$17,756.50
2011 April	\$43,593.26
2011 May	\$9,097.50
2011 June	\$39,491.42
2011 July	\$17,302.00
2011 August	\$0.00
2011 September	<u>\$0.00</u>
Total Committee Expenses to Date	\$9,301,931.88
Unexpended Authorization	<u>\$30,741.12</u>

U.S. House of Representatives
Financial Services Committee - Republican

Fund Balance Statement by Paid Date
October

Total Authorization		
F - 112th - 1st	Franked Mail	\$1,000.00
Less Expenses for:		
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January	2011	\$53.25
February		\$78.23
March		\$42.46
April		\$48.01
May		\$38.62
June		\$52.09
July		\$20.32
August		\$41.65
September		\$34.04
Total Expenses to Date:		\$408.67
Unexpended authorization		\$591.33

U.S. House of Representatives

PAYROLL CERTIFICATION - FINAL

09/01/2011 to 09/30/2011

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department: BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - 3(D) PERSONNEL

Employee and Job Title	Annual Salary	Gross Pay	Period	Remarks
CLINGER, JAMES H CHIEF COUNSEL	172,500.00	14,375.00	09/01/2011 to 09/30/2011	
JONES III, CLINTON COLUMBU GENERAL COUNSEL	170,000.00	14,166.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
LAVENDER, LARRY C CHIEF OF STAFF	142,500.00	11,875.00	09/01/2011 to 09/30/2011	
TRYON, WARREN DEPUTY CHIEF OF STAFF	150,696.00	12,558.00	09/01/2011 to 09/30/2011	

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title	Annual Salary	Gross Pay	Period	Remarks
ALLISON, TERISA L EDITOR/DOCUMENT CLERK	105,000.00	8,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
ARAUZ, STEVE F. ASST SYSTEMS ADMINISTRATOR	55,000.00	4,583.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
AUSTIN, NICOLE C PROFESSIONAL STAFF	120,000.00	10,000.00	09/01/2011 to 09/30/2011	
BISHOP, NORMAN R STAFF ASSISTANT	33,000.00	2,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
BORDEN, MICHAEL SENIOR COUNSEL	160,000.00	13,333.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
BRAY, CHARA R PRESS ASSISTANT	58,000.00	4,833.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
BURGESS, EDWARD C STAFF ASSISTANT	33,000.00	2,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
CIMINO, ANTHONY J SENIOR PROFESSIONAL STAFF	103,000.00	8,583.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
CLARK, JOSEPH R COUNSEL	70,000.00	5,833.33	09/01/2011 to 09/30/2011	APPOINTMENT
COLE, JOHN W COUNSEL	75,000.00	6,250.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
DUKE, ANDREW PROFESSIONAL STAFF	120,000.00	10,000.00	09/01/2011 to 09/30/2011	
ECKEL, SCOTT C PROFESSIONAL STAFF	140,000.00	11,666.67	09/01/2011 to 09/30/2011	
EDGAR, KEVIN R SENIOR COUNSEL	160,000.00	13,333.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
EMERSON, JEFFREY WADE DEPUTY STAFF DIR/COMMUNICATION	160,000.00	13,333.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
EPLEY, MARK D SENIOR COUNSEL	167,500.00	13,958.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
FOSS, PAUL-MARTIN PROFESSIONAL STAFF	85,000.00	7,083.33	09/01/2011 to 09/30/2011	
FRUMBERG, EMILY J STAFF ASSISTANT	33,000.00	2,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
GAMBO, ANGELA S ADMINISTRATIVE ASSISTANT	118,000.00	9,833.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
GARIBAY, MARISOL COMMUNICATIONS DIRECTOR	85,000.00	7,083.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
GOGGINS, JASON M COUNSEL	95,000.00	7,916.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11

HENSON, MARGARET E CLERK	36,000.00	3,000.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
JOHNSON, TALLMAN SENIOR PROFESSIONAL STAFF	160,000.00	13,333.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
KEECH, ROSEMARY ELIZAB EXECUTIVE STAFF ASSISTANT	85,000.00	7,083.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
KREBS, THOMAS L SENIOR COUNSEL	150,000.00	12,500.00	09/01/2011 to 09/30/2011	
LEONCZYK JR, KENNETH G COUNSEL	80,000.00	6,666.67	09/01/2011 to 09/30/2011	
LILES, WILLIAM W SENIOR COUNSEL	155,000.00	12,916.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MADISON, JONATHAN E STAFF ASSISTANT	33,000.00	2,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MAHLER, SAMUEL C STAFF ASSISTANT	33,000.00	2,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MCCARDLE, KYLIN B PROFESSIONAL STAFF	85,000.00	7,083.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MCCOLLUM-GOOCH, LESLI E PROFESSIONAL STAFF	140,000.00	11,666.67	09/01/2011 to 09/30/2011	
MCGARRY, NATALIE N PARLIAMENTARIAN/SEN COUNSEL	140,000.00	11,666.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MEDINA, FRANCISCO A SENIOR COUNSEL	150,000.00	12,500.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
MITCHELL, SUSAN C COUNSEL	70,000.00	5,833.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
PINDER, JOE SENIOR PROFESSIONAL STAFF	150,000.00	12,500.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
PONEK, BLAKE JAMES STAFF ASSISTANT	40,000.00	3,333.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
RANCK, AARON A SENIOR PROFESSIONAL STAFF	110,000.00	4,277.78	09/01/2011 to 09/30/2011	APPOINTMENT
RATLIFF, JAMES K PROFESSIONAL STAFF	50,000.00	4,166.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
ROBERTI, CLIFFORD PROFESSIONAL STAFF	130,000.00	10,833.33	09/01/2011 to 09/30/2011	
ROGET, GISELE G SENIOR ANALYST	65,000.00	5,416.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
SKALA, EDWARD G SENIOR PROFESSIONAL STAFF	105,000.00	8,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
SMITH, CALEB J DIRECTOR OF NEW MEDIA	60,000.00	5,000.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
SPORCK, AARON T PROFESSIONAL STAFF	138,000.00	11,500.00	09/01/2011 to 09/30/2011	
STALEY, MICHAEL POLICY ADVISOR	25,000.00	2,083.33	09/01/2011 to 09/30/2011	
TEEL, ALEXANDER H PROFESSIONAL STAFF	43,000.00	3,583.33	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
TRIMBLE, KIM SYSTEM ADMINISTRATOR	105,000.00	8,750.00	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11
WRIGHT, ANNA B EXECUTIVE ASSISTANT	50,000.00	4,166.67	09/01/2011 to 09/30/2011	P/R CHANGE 09/01/11

Department	Employee Group	Expenditure	Active	Paid
BA000	SPECIAL & SELECT COMMITTEE -	\$52,974.67	4	4
BA000	SPECIAL & SELECT COMMITTEE -	\$358,736.08	46	46
BA000	Total	\$411,710.75	50	50

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

Department: BA090 COMMITTEE ON FINANCIAL SRV-MIN

SPECIAL & SELECT COMMITTEE - 3(D) PERSONNEL

Employee and Job Title	Annual Salary	Gross Pay	Period	Remarks
BERESIK, MICHAEL T DEPUTY STAFF DIRECTOR	170,696.00	14,224.67	09/01/2011 to 09/30/2011	
ASTER, GAIL W DEPUTY CHIEF COUNSEL	170,696.00	14,224.67	09/01/2011 to 09/30/2011	
OLSON, ROGER ALAN POLICY DIRECTOR, HOUSING	168,411.00	5,145.89	09/01/2011 to 09/30/2011	TERMINATED
ROSLANOWICK, JEANNE M STAFF DIRECTOR & CHIEF COUNSEL	172,500.00	14,375.00	09/01/2011 to 09/30/2011	
STEWART, LAWARANNE DEPUTY CHIEF COUNSEL	170,696.00	14,224.67	09/01/2011 to 09/30/2011	

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title	Annual Salary	Gross Pay	Period	Remarks
CONNELLY, MEREDITH C PROFESSIONAL STAFF MEMBER	92,000.00	7,666.67	09/01/2011 to 09/30/2011	
ERICKSON, KRISTOFOR S SR PROFESSIONAL STAFF MEMBER	120,000.00	10,000.00	09/01/2011 to 09/30/2011	
FORMAN JR, ALFRED J SYSTEMS ADMINISTRATOR	97,850.00	8,154.17	09/01/2011 to 09/30/2011	
FREITAS, BRUNO PROFESSIONAL STAFF MEMBER	63,374.00	5,281.17	09/01/2011 to 09/30/2011	
GIESTA, MARIA E PROFESSIONAL STAFF MEMBER	88,062.00	7,338.50	09/01/2011 to 09/30/2011	
GURAL, HARRY D. COMMUNICATIONS DIRECTOR	50,000.00	4,166.67	09/01/2011 to 09/30/2011	
JEFFERS, ERIKA SENIOR COUNSEL	140,000.00	11,666.67	09/01/2011 to 09/30/2011	
LARKIN, KELLIE SENIOR COUNSEL	158,414.00	13,201.17	09/01/2011 to 09/30/2011	
LORD, PATRICIA ANNE PROFESSIONAL STAFF MEMBER	119,500.00	9,958.33	09/01/2011 to 09/30/2011	
MANOSALVAS, MARCOS F STAFF ASSOCIATE	41,000.00	3,416.67	09/01/2011 to 09/30/2011	
MARKS, KATHRYN J SENIOR COUNSEL	160,000.00	13,333.33	09/01/2011 to 09/30/2011	
MCCOY, DOMINIQUE M SENIOR COUNSEL	127,615.00	10,634.58	09/01/2011 to 09/30/2011	
MCGLINCHY, DANIEL P SR PROFESSIONAL STAFF MEMBER	146,000.00	12,166.67	09/01/2011 to 09/30/2011	
SCHWARZBACH, KIRK PROFESSIONAL STAFF MEMBER	70,000.00	5,833.33	09/01/2011 to 09/30/2011	
SMITH, DAVID A. CHIEF ECONOMIST	165,000.00	13,750.00	09/01/2011 to 09/30/2011	
THREATT, ADRIANNE G SENIOR COUNSEL	165,000.00	13,750.00	09/01/2011 to 09/30/2011	
WHISENANT, ADDIE M PRESS SECRETARY	75,000.00	2,291.67	09/01/2011 to 09/30/2011	TERMINATED

Department	Employee Group	Expenditure	Active	Paid
BA090	SPECIAL & SELECT COMMITTEE -	\$62,194.90	4	5
BA090	SPECIAL & SELECT COMMITTEE -	\$152,609.60	16	17
BA090	Total	\$214,804.50	20	22

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE Accounting Organization: 10BA000

Employee Group	Expenditure	Active	Paid
SPECIAL & SELECT COMMITTEE - 3(D) PERSONNEL	\$115,169.57	8	9
SPECIAL & SELECT COMMITTEE - PERSONNEL	\$511,345.68	62	63
Total	\$626,515.25	70	72

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

